

# Sensitization of Er<sup>3+</sup> Emission in Er- and Yb-doped Si Thin Films by Laser Ablation

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## Abstract

Erbium (Er)- and ytterbium (Yb)-doped Si (Si:Er,Yb) thin films have been controllably synthesized over the Er and Yb concentrations ranging from  $10^{18}$  to  $10^{20}$  cm<sup>-3</sup> by laser ablation technique. From the PL spectra and the concentration dependence of the intensity of Er<sup>3+</sup> emission at 1.54 μm, Yb<sup>3+</sup> acts as an efficient sensitizer of the Er<sup>3+</sup>-related PL. Enhancement by a factor of 1.5 due to Yb codoping is observed from the Si:Er,Yb films.

## 1. Introduction

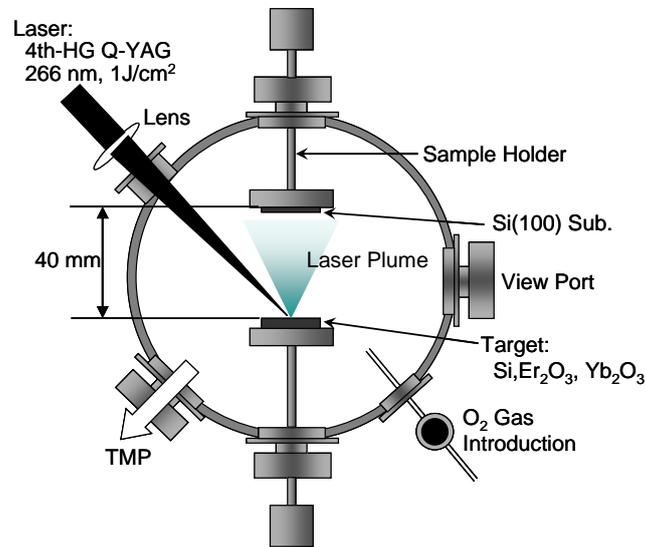
Rare-earth (RE) doping of Si and Si-related materials [1-5] has attracted much attention for the development of Si-based optoelectronic devices initiated by the report on the photoluminescence (PL) centered at around 1.54 μm of Er<sup>3+</sup> (<sup>4</sup>I<sub>13/2</sub> → <sup>4</sup>I<sub>15/2</sub>) in Si [1]. Ytterbium (Yb) is known as a sensitizer for Er<sup>3+</sup> emission at 1.54 μm in Er-doped materials [2]. Since the energy level of the Yb<sup>3+</sup>-<sup>2</sup>F<sub>5/2</sub> state is close to that of the Er<sup>3+</sup>-<sup>4</sup>I<sub>11/2</sub> state, optical transitions between them are expected to raise the excitation efficiency of the Er<sup>3+</sup>. Therefore, much attention has been given to Er, Yb-codoped Si and Si-related materials for Si-based optoelectronic device application. Kozanecki et al. presented that the codoping of Yb leads to the enhancement of Er<sup>3+</sup>-related PL at 1.54 μm in the Er-doped SiO<sub>2</sub> films [2]. However, there are few studies on Er and Yb codoping of Si matrix.

In this study, we investigate the synthesis of Er- and Yb-doped Si (Si:Er,Yb) thin films by laser ablation. Laser ablation technique is simple and useful for doping the RE elements into the host materials [3]. The relationship between the Er<sup>3+</sup>-related PL and the Yb doping level is discussed.

## 2. Experimental

The ceramic target prepared by a hot press technique from a mixture of Si, prescribed amount

1 wt %  $\text{Er}_2\text{O}_3$ , and  $\text{Yb}_2\text{O}_3$  was used in our experiments. The Er atomic density included in the target is calculated to be  $5.5 \times 10^{19} \text{ cm}^{-3}$ . Komuro et al. have showed that the Er atomic densities in the Er-doped Si (Si:Er) films linearly depend on those in the targets by x-ray fluorescence spectroscopy (XFS) and secondary ion mass spectroscopy (SIMS) [4, 5]. A schematic of our laser ablation chamber is shown in Fig. 1. A Q-switched YAG (QW-YAG) laser with fourth harmonics (wavelength of 266 nm; pulse duration of 5 ns; energy density per pulse of approximately  $1 \text{ J/cm}^2$ ) was used to ablate the target. The background pressure of the vacuum chamber before ablation was lower than  $1 \times 10^{-7}$  Torr. The bulk target and Si(100) substrate were separated by the distance of approximately 40 mm in the chamber. Six targets samples with different amounts of  $\text{Yb}_2\text{O}_3$  (0.03, 0.1, 0.3, 1, 3, and 10 wt %) were used to synthesize the Si:Er,Nd films with various Yb concentrations ( $1.1 \times 10^{18}$ ,  $4.0 \times 10^{18}$ ,  $1.1 \times 10^{19}$ ,  $4.0 \times 10^{19}$ ,  $1.1 \times 10^{20}$ , and  $4.0 \times 10^{20} \text{ cm}^{-3}$ ). The Si:Er,Yb films with approximately 200 nm thick were synthesized on Si(100) substrates at room temperature (RT). After deposition, the Si:Er,Yb films were annealed at  $800 \text{ }^\circ\text{C}$  for 5 min in an  $\text{N}_2$  atmosphere to activate RE incorporation in the films. PL measurements were performed by using  $\text{Ar}^+$  laser irradiation at 488 nm. The visible and near infrared (IR) emissions were detected by a photomultiplier tube and a liquid- $\text{N}_2$  cooled Ge p-i-n photodiode, respectively.



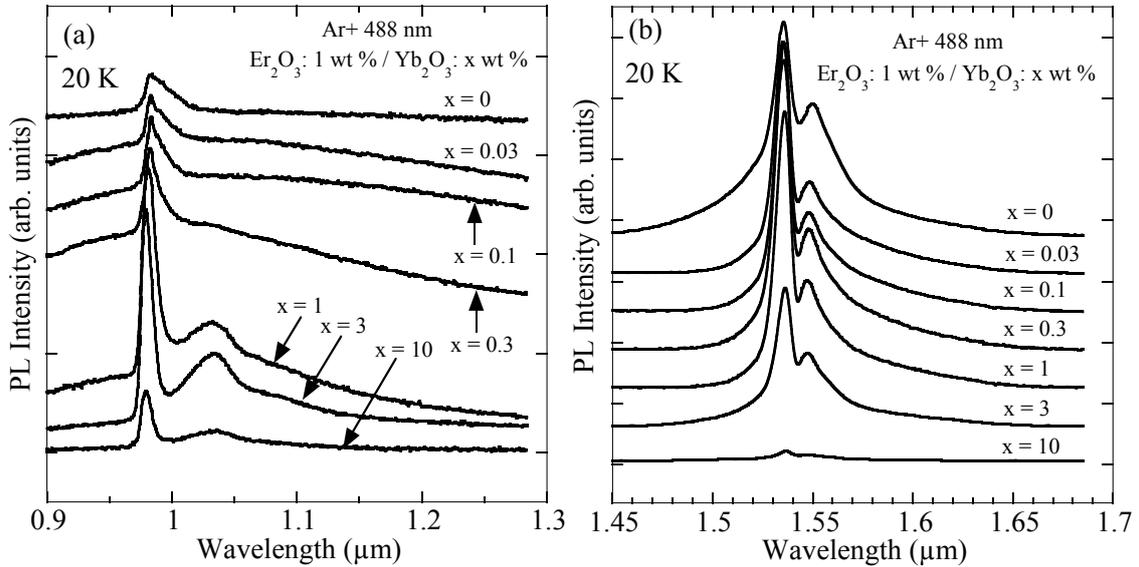
**Figure 1** A schematic of our laser ablation chamber.

### 3. Results and discussion

In order to characterize the structure of the films, x-ray diffraction (XRD) measurements were performed. No appreciable diffraction peaks (not shown) were observed from the samples. This

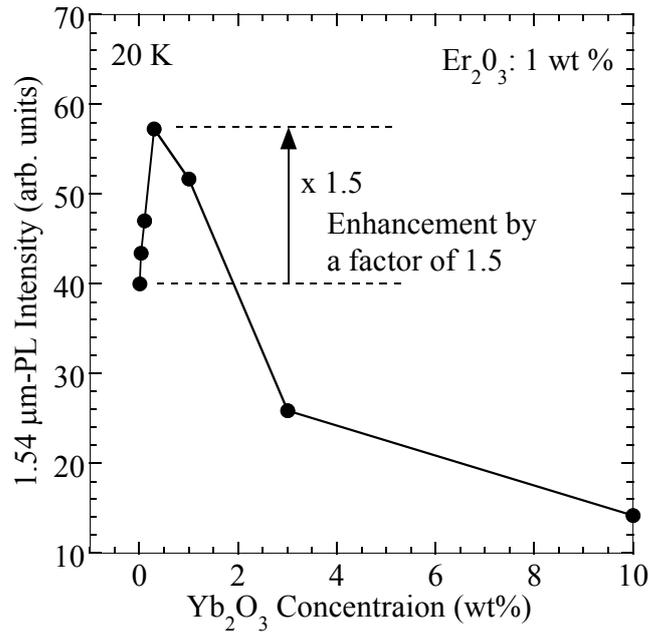
indicates that the Si:Er,Yb films consist of amorphous phase. Previously, we showed that SiOx phase is formed in the Si:Nd films synthesized by laser ablation because the broad PL peaks due to SiOx is observed at around 658 nm [5], which is consistent with the result of the present XRD measurements.

Figures 2 (a) and (b) show the PL spectra from the annealed Si:Er,Yb films at 20 K in the two near IR regions. As shown in Fig. 2 (a), the PL peak at 0.983  $\mu\text{m}$  is attributed to the  $^4I_{11/2} \rightarrow ^4I_{15/2}$  transition in  $\text{Er}^{3+}$ , which is observed from the samples with 1 wt %  $\text{Er}_2\text{O}_3$  and 0.03 – 1 wt %  $\text{Yb}_2\text{O}_3$ . In the samples with 3 and 10 wt %  $\text{Yb}_2\text{O}_3$ , the PL peak at 0.979  $\mu\text{m}$  due to the  $^2F_{5/2} \rightarrow ^2F_{7/2}$  transition in  $\text{Yb}^{3+}$  is observed while the peak at 0.983  $\mu\text{m}$  disappears. From Fig. 2 (b), intense and sharp PL originating from intra-4f transitions in  $\text{Er}^{3+}$  is observed from the 1 wt %  $\text{Er}_2\text{O}_3$  and 0.3 wt %  $\text{Yb}_2\text{O}_3$  samples.



**Figures 2 (a) and (b)** PL spectra at 20 K from the films with different Yb concentrations.

Figure 3 shows the Yb concentration dependence of the intensity of the 1.54  $\mu\text{m}$ -PL due to the  $^4I_{13/2} \rightarrow ^4I_{15/2}$  transition in  $\text{Er}^{3+}$  at 20 K. The PL intensity increases the Yb concentration in the range from 0.03 to 0.3 wt %  $\text{Yb}_2\text{O}_3$  while it decreases with that in the range from 1 to 10 wt %  $\text{Yb}_2\text{O}_3$ . Noted that the 1.54  $\mu\text{m}$ -PL intensity for the Si:Er,Yb films with 1 wt %  $\text{Er}_2\text{O}_3$  and 0.3 wt %  $\text{Yb}_2\text{O}_3$  is enhanced by a factor of 1.5 higher than that for the Si:Er films with 1 wt %  $\text{Er}_2\text{O}_3$ . Therefore, it is found that for the excitation wavelength at 488 nm  $\text{Yb}^{3+}$  act as the efficient sensitizers of the  $\text{Er}^{3+}$  emission at 1.54  $\mu\text{m}$  due to the  $^4I_{13/2} \rightarrow ^4I_{15/2}$  transition.



**Figures 3** Yb concentration dependence of the Er<sup>3+</sup> emission intensity at 1.54 μm.

#### 4. Conclusions

We showed a simple and useful technique to synthesize Si:Er thin films codoped with Yb. The control of Yb codoping level ranging from  $10^{18}$  to  $10^{20}$  cm<sup>-3</sup> in films was achieved. The sensitization of the intra-4f-shell emission of Er<sup>3+</sup> due to Yb codoping was observed in the Si:Er,Yb films. Our results suggest a possibility of fabricating Si-based optoelectronic devices such as light-emitting diodes and lasers by using the Si:Er,Yb films.

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